Our procedures for safeguarding children and adults at risk
Contents

1 Introduction 3
2 What does a child or adult at risk mean? 3
3 Recognising abuse and neglect 4
4 Roles and responsibilities 6
5 Responding to allegations or concerns from a child or adult at risk 7
6 Responding to suicide risk 7
7 Concerns that involve a member of staff or volunteer 8
8 Safer Working Practice 9
9 Confidentiality, consent and information sharing 10
10 What to do if you’re worried about a child or adult at risk 10
11 Record keeping 12
12 Staff and volunteers outside of their working or volunteering role 12

Appendix 13

Policy author
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Approved by
Audit and Risk Committee

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Date of last review
May 2019

Summary of changes since last review
Legislation and guidance, improved accessibility

Date of next scheduled review
May 2020
1 Introduction

1.1 There are lots of different ways we support children and adults across the charity. It’s important that their wellbeing is always our priority. This document explains the principles of safeguarding and defines what our roles and responsibilities are, individually and as a whole, in keeping children and adults at risk safe. Everyone must follow these procedures. That includes all staff, volunteers, trustees, interns, secondees, agency staff, students, partners and people commissioned to provide work for us.

1.2 The procedures explain what staff, volunteers, managers and the safeguarding team need to do if there are concerns about a child or adult at risk of being harmed or at risk of harm.

1.3 There are four key principles we need to follow.
   • Recognising concerns that a child or adult at risk is being harmed or might be at risk of harm.
   • Responding to a child or adult at risk who is telling you what is happening to him or her.
   • Recording the concerns appropriately and any action we take.
   • Referring on the concerns internally or to an outside agency.

1.4 This policy will be reviewed annually and kept up to date by the our Safeguarding Manager to reflect any changes in legislation and best practice. This policy is reviewed and approved by our Trustees/ Governance.

2 What does a child or adult at risk mean?

2.1 Child: Any person under 18 years old. In Scotland, some legislation refers to anyone under the age of 16 years. For child protection purposes, this policy defines a child as under 18 years old.

2.2 Adult at risk: Some adults are more likely to experience abuse, harm, ill-treatment or neglect than others, and are less able to protect themselves. It is this group of adults who are specifically covered by this document. This is because they’re more likely to experience abuse, and less likely to be able to take action to make it stop. This may be as a result of:
   • Need for care and support to be provided by others – some people may need help with washing, dressing as well as other activities.
   • Communication difficulties – some people may not speak clearly if they have a health problem or a learning disability.
   • Physical health difficulties – some people may have restricted movement due to health problems.
   • Cognitive impairment – some people find it difficult to understand and process information due to health problems.

2.3 These procedures should be used to safeguard those adults who have care and support needs and are, or are at risk of, being abused or neglected and are unable to protect themselves against the abuse or neglect or risk of it, because of those needs.

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Working Together to Safeguard People Volume I 2016– Introduction and Overview Do we need adults’ documents to reference adults at risk definition?

The Care Act 2014
Statutory Care and Support Guidance 2017 (Chapter 14)
2.4 Adults **may** be deemed to be ‘at risk of abuse’, and covered by these procedures, if they have any of the following:

- learning disabilities
- physical disabilities
- mental ill health
- sensory disabilities
- dementia
- brain injuries
- substance misuse
- frailty due to their age.

2.5 An ‘adult at risk’ could be a member of the public. But, they could also work for us, be a volunteer or a fundraiser. The important thing to remember is that whoever they are, if they’re experiencing abuse, you should share your concerns, following the guide.

**Practice note:** If you’re in any doubt about whether someone is is an adult at risk, you must still share your concerns in line with these procedures. The safeguarding team can then support and make appropriate decisions.

3 Recognising abuse and neglect

3.1 Abuse is the misuse of power by one person over another, and can have a large impact on a person’s independence. Neglect can stop a person who’s dependent on others for their basic needs being able to choose and control fundamental parts of their life. It can cause humiliation and loss of dignity.

3.2 Anyone can carry out abuse or neglect, including parents, partners, other family members, neighbours, friends, acquaintances, local residents, organised gangs, paid staff or professionals, volunteers and strangers.

3.3 A concern about a child’s safety and welfare might arise for different reasons.

- A child saying that he or she is being abused or telling you about an experience or event that has happened to them that you think would be harmful.
- Spotting signs of abuse or neglect.
- A child’s behaviour giving cause for concern.
- You directly witnessing a child being harmed by an adult or another child.

3.4 A concern about an adult at risk safety or welfare may arise as a result of:

- an adult at risk disclosing that he or she is being abused
- you spotting signs of abuse on an adult at risk
- the behaviour of the adult at risk giving cause for concern
- you directly witnessing an adult at risk being harmed
- somebody telling you that an adult at risk is being harmed.

For further information please see ‘Diabetes UK Procedures for managing suicide risk – What to do if someone is at risk of suicide’.

3.5 Abuse and neglect of children is categorised into four types of abuse:

**Physical abuse:** A form of abuse which may involve hitting, shaking, throwing, poisoning, burning or scalding, drowning, suffocating or otherwise causing physical harm to a child. Physical harm may also be caused when a parent or carer fabricates the symptoms of, or deliberately induces illness.
**Sexual abuse:** Involves forcing or enticing a child or young person to take part in sexual activities, not necessarily involving a high level of violence, whether or not the child is aware of what is happening. The activities may involve physical contact, including assault by penetration – rape or oral sex – or non-penetrative acts such as masturbation, kissing, rubbing and touching outside of clothing. They may also include non-contact activities, such as involving children in looking at, or in the production of, sexual images, watching sexual activities, encouraging children to behave in sexually inappropriate ways, or grooming a child in preparation for abuse – including via the internet. Sexual abuse is not solely perpetrated by adult males. Women can also commit acts of sexual abuse, as can other children.

**Emotional abuse:** This is the persistent emotional maltreatment of a child or vulnerable adult which causes severe and persistent adverse effects on their emotional development. It might involve making them feel they’re worthless or unloved, inadequate, or valued only insofar as they meet the needs of another person. It might not letting them express their views. It could be deliberately silencing them or making fun of what they say or how they communicate. It might include putting expectations or interactions on them that are beyond their developmental abilities. It could also be overprotection and limiting of exploration and learning, or preventing them participating in normal social interactions. It could also include seeing or hearing the ill-treatment of someone else. It might involve serious bullying – including cyber bullying – causing a child or vulnerable adult to feel frightened or in danger. It could be the exploitation or corruption of children. Some level of emotional abuse is involved in all types of maltreatment of a child, though it can also occur alone.

**Neglect:** This is the persistent failure to meet a child or adults at risk basic physical and psychological needs. It's likely to result in the serious damage their health or development. It might involve a parent or carer failing to:

- provide adequate food, clothing and shelter – including being made homeless or being abandoned
- protect a child or vulnerable adult from physical and emotional harm or danger
- make sure adequate supervision – including the use of inadequate care-givers
- make sure someone has access to the medical care or treatment they need.

It may also include neglect of, or unresponsiveness to, a child/adults at risk basic emotional needs. Neglect may occur during pregnancy as a result of maternal substance abuse.

Other forms of abuse include, for example, trafficked children, modern slavery, female genital mutilation and forced marriage.

### 3.6 Abuse and neglect of an adult at risk can take many forms, and may include:

- **Physical abuse:** This includes hitting, slapping, pushing, misuse of medication, restraint or inappropriate physical sanctions.
- **Sexual abuse:** This includes sexual activity with someone without their permission or sexual activity with someone who is not able to give their consent permission.
- **Psychological abuse:** This includes emotional abuse, threats of harm or abandonment, deprivation of contact, humiliation, blaming, bullying, controlling, intimidation, verbal abuse.
- **Exploitation:** This is unfairly manipulating someone for profit or personal gain and can be planned or spur of the moment.
- **Financial or material abuse:** This includes theft, fraud, exploitation, coercion in relation to an adult's financial affairs or arrangements, eg getting someone to change their will.
- **Neglect and acts of omission:** This includes ignoring medical or physical care needs, failure to provide access to appropriate health, care and support services, the withholding of the necessities of life, like medication, adequate nutrition and heating.
- **Discriminatory abuse:** This includes discrimination on grounds of race, gender and gender identity, disability, sexual orientation, religion, and other forms of harassment, slurs or similar treatment.
- **Organisational abuse:** Including neglect and poor care practice within an institution or specific care setting such as a hospital or care home, for example, or in relation to care provided in one’s own home. This may range from one off incidents to on-going ill-treatment. It can be through neglect or poor professional practice as a result of the structure, policies, processes and practices within an organisation (Care and support statutory guidance).
- **Modern Slavery:** Human trafficking, forced labour, domestic servitude, debt bondage or sexual exploitation such as escort work, prostitution or pornography.
• **Self-neglect:** Lack of self care to an extent that it threatens personal health and safety, inability to avoid self-harm or failure to seek help.

• **Domestic Violence or Abuse:** Acts of assault threats humiliation and intimidation, harming, punishing or frightening the person.

**Practice note:** It doesn’t make any difference whether or not the person intends to cause harm to the individual. The focus should be on the harm any abuse causes to the individual, not the intention behind it.

4 Roles and responsibilities

4.1 **All Diabetes UK staff and volunteers:** We all have a part to play in keeping children and adults at risk safe and our policies make sure that we actively safeguard everyone.

- We’ll be aware of our overarching safeguarding policy and procedures.
- We’ll complete our safeguarding eLearning and any role specific safeguarding training.
- We’ll understand what to do if someone is worried about a child or adult at risk and share all our concerns appropriately.
- We’ll act within our safer working practice procedures at all times.

4.2 **Designated Safeguarding Person (DSP):** Certain teams have a DSP. This person has responsibility as a first point of contact to respond to safeguarding concerns within their team, and support others in doing so.

The DSP will:

- understand our overarching safeguarding policy and procedures
- attend an introductory level course in safeguarding, and complete our enhanced safeguarding training
- decide what steps we need to take to look after the safety and welfare of the children and young people or adults at risk in the event of a safeguarding concern
- make contact with other organisations to share information where appropriate
- record all our safeguarding concerns and further actions in line with our procedures
- consult with the Safeguarding Manager or the NSPCC as required.

The Designated Safeguarding Person may also, on occasion, provide safeguarding cover for other areas of the business.

4.3 **Safeguarding Manager:** Our Safeguarding Manager is our safeguarding expert and makes sure everyone follows best practice.

The Safeguarding Manager will:

- produce our policies and procedures in line with legislation, guidance and best practice
- manage safeguarding cases raised by any staff or volunteers
- manage the work of and audit case work of Designated Safeguarding Persons
- provide safeguarding expertise to support directorates and managers so they can meet our safeguarding responsibilities
- act as subject expert for us and provide safeguarding advice and direction as required
- report to the Strategic Lead for Safeguarding and trustees about our safeguarding.

4.4 **Managers:** Any managers of staff and volunteers have responsibility for making sure they comply with and meet any safeguarding requirements relevant to their role and the work they’re responsible for.

Managers will also:

- understand all safeguarding compliance requirements relevant to their team and directorate
- make sure all staff and volunteers are recruited in line with our safer recruitment practices
- make sure all safeguarding concerns are appropriately reported in line with ‘What to do if you are worried about a child or adult at risk’ procedures.
- highlight any areas where we’re not meeting our safeguarding procedures and also asking for support and direction to make sure they’re fulfilled.

4.5 **Strategic Lead for Safeguarding:** Oversees the work of the Safeguarding Manager and takes accountability at director level.

4.5 **Trustees:** Hold ultimate accountability for safeguarding within the organisation.
5 Responding to allegations or concerns from a child or adult at risk

5.1 Sometimes, children or adults at risk will share their worries or experiences of abuse with us and it is essential that we listen to them and their voice is heard and taken seriously.

Here’s what staff and volunteers should do to support this:

- Listen carefully and compassionately to what is being said.
- Consider the person’s age, stage of development and how they prefer to communicate when responding.
- Find an appropriate early chance to explain that it is likely that you’ll need to share what you’ve been told with others. Don’t make promises, particularly about confidentiality or outcomes.
- Allow the person to continue at their own pace and avoid asking them to repeat their story.
- Ask open ended questions for clarification only. Can you tell me what’s worrying you? Can you tell me what happened?
- Avoid asking questions that suggest a particular answer or that lead the person into saying something. Also avoid asking questions about why something happened.
- Reassure the person that they’ve done the right thing in telling you.
- Tell the person what you’ll do next and with whom you’ll share the information, except where doing this may put them or someone else at risk. If you’re unsure seek advice from the Safeguarding team.
- Record in writing what was said using, wherever possible, child’s or adult’s words as soon as you can. Note the date, time, places, allegations, any names mentioned and to whom the information was given. Make sure that the record is signed and dated.

6 Responding to suicide risk

6.1 Diabetes is life changing for many people with the condition and sometimes for their friends and family. Sometimes people will tell us they are having suicidal thoughts or feelings.

6.2 Someone might contact you who is threatening to behave or act in a way that will harm themselves, possibly fatally. This might include when someone threatens to or decides to change how they manage their diabetes. There isn’t one answer or response that can be given to someone who may feel like hurting themselves or killing themselves. Every situation needs to be thought about and reacted to on a case by case basis. These guidelines will help you if you ever face this situation. But they won’t give you an answer to every situation. We have comprehensive guidelines support our thinking when faced with a situation.

6.3 Summary of assessing level of suicide risk for adults at risk – to be used alongside our What to do if someone is at risk of suicide guidelines. See appendix on page 13.
7 Concerns that involve a member of staff or volunteer

7.1 Despite all efforts to recruit safely there will be occasions when there are allegations of abuse, or concerns about the behaviour or conduct of a member of staff or volunteer.

We have clear procedures that are applied in the event of an allegation or concern about anyone who works with children or adults at risk.

This is might be because they’ve:

1. behaved in a way that has harmed, or may have harmed a child or adult at risk
2. possibly committed a criminal offence against or related to a child or adult at risk
3. behaved in a way that indicates they may pose a risk of harm to children or adults at risk.

7.2 What makes a safeguarding allegation?

An allegation might involve a child or adult at risk who’s:

- using one of our services
- involved in a fundraising or participation activity
- not known to us;
- known to an employee or volunteer in their community or home life
- is a child of a member of staff or volunteer.

The allegation may:

- not involve a child victim we know. Potentially someone could be accessing abusive images of children online or using the internet to groom with the intent to harm in the future.
- be about any type of abuse – physical, emotional, sexual or neglect.
- concern a breach of our safeguarding code of conduct and guidance on appropriate conduct.

7.3 How might a safeguarding allegation come to light?

Safeguarding concerns can arise from many different ways.

- A child, parent or carer or adult at risk makes a direct allegation against an individual.
- A child, parent or carer expresses discomfort with the behaviour of an individual.
- An employee or volunteer directly observes behaviour that is cause for concern.
- We receives a safeguarding allegation from a person, including a member of the public or professional.
- A member of the public or professional tells an employee or volunteer face to face.
- In the course of another internal procedure, for example a disciplinary or someone whistleblowing – speaking out – or making a complaint.

7.4 How should a safeguarding allegation or concern be shared?

If your concern involves worries about the behaviour or actions of a member of staff or volunteer you should share the information with our Safeguarding team as soon as possible or within one working day.

**Safeguarding Team:** 020 7424 1047 or Safeguarding@diabetes.org.uk

If the concern relates to the Safeguarding team, or it feels appropriate to do so you can share directly with the NSPCC Helpline.

**NSPCC Helpline:** 0808 800 5000

See ‘What to do if you are worried about a child or adult at risk’ flow-chart for full details.

Record all information on the safeguarding record form online with recording information procedures outlined in section 11.2.
7.5 What happens when a safeguarding allegation/concern is raised?

We’re committed to the safe and thorough handling of any safeguarding allegations or concerns through an open and transparent process.

- We make sure that children and adults at risk are protected and supported following an allegation that they may have been abused by an adult working for or on behalf of us.
- We make sure there is a fair, consistent and robust response to any safeguarding allegation made, so that the risk posed to other children or adults at risk is managed effectively.
- We organise an appropriate level of investigation into concerns or allegations, whether they are said to have taken place recently, at any time the person in question has been employed by or volunteered with us, or prior to the person’s involvement with us.
- Make sure we continue to fulfil our responsibilities towards members of staff, or volunteers who may be subject to such investigations.

We’ll never use settlement agreements, which is when a member of staff agrees to resign provided that disciplinary action is not taken and that a future reference is agreed.

For full details on how we manage safeguarding allegations see our guidelines for managing safeguarding allegations.

8 Safer Working Practice

8.1 Safer Working Practice is a sensible and simple approach that helps all our staff and volunteers keep themselves, children and adults at risk safe.

8.2 By following it we aim to protect children and young people, and adults at risk and to avoid anyone misunderstanding behaviours. The following guidance helps us reduce risk and make sure our activities are as safe and fun as possible.

8.3 Safer Working Practice principles

1 We always need to be aware that the welfare of children, teenagers and adults at risk is our priority above everything else.

2 No one should behave in a way or have attitudes that would lead any reasonable person to question your suitability to work with children, teenagers or adults at risk.

3 We should all be aware of our behaviour outside of work or volunteering lives which might lead people to believe you’re unsuitable to support children, teenagers or adults at risk. This might be seriously misusing alcohol or drugs or violent behaviour.

4 Everyone should communicate and behave in an open and transparent way.

5 We should all discuss and take advice straight away over any situation which may cause concern.

6 We must all apply the same treatment and welcoming approach to everyone regardless of age, race, gender, disability, religion, belief, sexual orientation or status.

8.3 We have various roles in the charity which have specific safer working practice guidelines that will help guide you through particular situation relevant to that role. You should know if and what guidelines there are for your role. Your line manager will be able to help you and you can find out more on the iKnow.
9 Confidentiality, consent and information sharing

9.1 Keeping children and adults at risk safe needs information to be shared with the right agencies in order to piece together a full picture of a child or adult and his or her circumstances. Single pieces of information can reveal a very different picture when combined together. To make this possible effective information sharing between professionals and local agencies is essential. This makes sure any issues can be spotted, the situation assessed, the right actions taken and the services a person needs provided.

9.2 We must follow the Data Protection Act 2018 when handling personal information.
- Personal information must be obtained and processed fairly and lawfully.
- It must only be disclosed in appropriate circumstances.
- Information kept should be accurate, relevant and not held for longer than necessary.
- It must be kept securely.

9.3 The Data Protection Act allows for the disclosure of personal information without consent of the person it is about in certain situations. This includes if it will prevent or detect a crime, a child and/or adult. We cannot let fears about sharing information stand in the way of the need to safeguard and promote the welfare and protect the safety of children and adults at risk.

9.4 Ideally we’d gain verbal or written consent from a child, their parent(s) or adult at risk before any personal information relating to them is shared with another authority. However, we do not need to seek consent to share information if it might:
- be unsafe to seek – for example if might increase the risk to the child
- cause an unjustified delay
- if it would prejudice the prevention, detection or prosecution of a serious crime.

9.5 Staff can always seek advice from the safeguarding manager or the NSPCC helpline about whether or not to inform the child and their family where they have concerns that they intend to share.

9.6 No one should assume that someone else will pass on information that they think may be critical to keeping a child or adult at risk safe.

9.7 If an adult at risk shares any information suggesting that they are being abused, or if you are concerned that this may be happening, you have a responsibility to consider how this information will be treated.

10 What to do if you’re worried about a child or adult at risk

10.1 It’s vital that everyone feels confident and knows how to share any worries they have about a child or adult at risk. It’s really important that individuals don’t feel that they need to have a definite answer or a complete picture about what might be going on in the life of a child or adult at risk but that if there’s anything that causes a worry or concern they can share that to get advice and support.

10.2 Our dedicated safeguarding team can offer advice, support and make sure we take any action needed to keep someone safe. We are passionate about making sure that every volunteer and staff has support and children and adults at risk can always be kept safe at any time. We have a partnership with the NSPCC to provide out-of-hours support 24 hours a day seven days a week.

Diabetes UK Safeguarding Team:
0207 424 1047
Safeguarding@diabetes.org.uk

NSPCC Helpline 0808 800 5000
What to do if you’re worried about a child or adult at risk

Our procedures

A member of staff or volunteer has a concern about the safety or welfare of a child or an adult at risk

Yes

Are they, or someone else, in immediate danger?

Yes

Immediately
• inform your line manager
• contact the Diabetes UK Safeguarding Team or the out-of-hours NSPCC Helpline
• record all information on the safeguarding record form.

No

Does the concern involve worries about the behaviour or actions of a member of staff or volunteer?

Yes

As soon as possible and within one day
Share the information with the Diabetes UK Safeguarding Team
and if appropriate
Or if the concern is about the safeguarding team call the NSPCC Helpline
and
Record all information on the safeguarding record form

No

As soon as possible and within one day
Inform your line manager
and
Record all information on the safeguarding record form
and
Share the information with the Diabetes UK Safeguarding Team

Remember: Don’t wait until you’re certain. Discuss any worries you have straight away. Support will be provided by the safeguarding team and the NSPCC to make sure we take any actions needed to keep people safe.

Diabetes UK Safeguarding Team:
0207 424 1047
Safeguarding@diabetes.org.uk
Out of hours support and advice is available to all Diabetes UK volunteers

NSPCC Helpline 0808 800 5000
Please ensure you tell the advisor that you are calling from Diabetes UK.
11 Record keeping

11.1 If anyone has a concern about the safety or welfare of a child or adult at risk then that information should be shared with the safeguarding team or NSPCC helpline – out-of-hours – without delay and one working day rather than 24 hours of the concern first being identified.

11.2 There are actions everyone must take if they have information to share.

1. They need to inform their line manager about the concern;
2. They need to outline the nature and details of their concern(s) either by filling in the online safeguarding record form or by completing the safeguarding record form and emailing it to the safeguarding team Safeguarding@diabetes.org.uk. Please make sure you password protect any documents and provide the password by phone.
3. They need to inform their line manager and record any information shared and actions taken.

Remember if you require urgent or out-of-hours advice please contact the NSPCC Helpline, stating that you are calling from Diabetes UK. In an emergency always dial 999 before completing the above steps.

11.3 Retention of safeguarding information

We have a clear system to securely store personal sensitive safeguarding data in line with UK law and best practice.

<table>
<thead>
<tr>
<th>Safeguarding concerns</th>
<th>Retention and review</th>
</tr>
</thead>
<tbody>
<tr>
<td>Child or adult at risk safeguarding or welfare concerns which we’ve not referred to social services or the police.</td>
<td>One year after last contact with child or adult concerned</td>
</tr>
<tr>
<td>Child or adult at risk safeguarding or welfare concerns which we’ve referred to social services or the police.</td>
<td>Six years after last contact with child or adult</td>
</tr>
<tr>
<td>Concerns about staff or volunteers who work with children or adults at risk.</td>
<td>99 years</td>
</tr>
</tbody>
</table>

12 Staff and volunteers outside of their working or volunteering role

12.1 When staff or volunteers are outside of their working or volunteering role they might identify concerns about the welfare or safety of a child or adults at risk. This might be in their own family or networks, community, neighbourhood or through other activities in which they participate. In this sense, they are like any other member of the public. Staff and volunteers should share their concerns with the NSPCC helpline or with the local authority children or adult social care service – or equivalent, or the police in the case of an emergency.
### Appendix 1  Summary of assessing level of suicide risk for adults at risk

#### Level of risk – mild
Vulnerable adult appearing to suffer mental health difficulties possibly relating to suicidal thoughts but has no plan or intent.

**Action:** Signposting to specialist charities such as the Samaritans or MIND and their own GP.

#### Level of risk – moderate
Vulnerable adult appearing to suffer mental health difficulties with clear indication of suicidal thoughts but no identified immediate risk or plan to take action.

**Action:** Referral to adult services/adult mental health care depending on the circumstances, and suggesting they contact specialist charities such as the Samaritans or MIND.

#### Level of risk – moderate to severe
Vulnerable adult appearing to suffer mental health difficulties relating to suicidal thoughts has definite indicators like a plan, history of previous attempts or is demonstrating reckless behaviours.

**Action:** Referral to adult services/adult mental health care depending on the circumstances, and suggesting they talk to specialist charities such as the Samaritans or MIND.

#### Level of risk – severe
Vulnerable adult appearing to suffer mental health difficulties relating to suicidal thoughts and definite immediate risk of life.

**Action:** Emergency services to act to preserve life and protect them or others. Request a police safe and well check and ambulance services if needed.

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**Remember:** We must always share any concerns about suicide or self-harm or issues relating to children and teenagers with their parents, carers or statutory authorities who have responsibility for safeguarding and child protection.